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Patent and Trademark Office: U.S. Department of Commerce

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		First Named Inventor Robert F. Dierbeck
		Group Art Unit 3743
		Examiner Name
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Attorney Docket Number 1453-00050
Total Number of pages in this Submission 3+		

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication To Group
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> After final	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board Of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Information Disclosure Statement/PTO-1449	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (Please identify below)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts Under 37 1.52 or 1.53	<input type="checkbox"/> Terminal Disclaimer	<div>Return receipt postcard</div>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
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Signature	
Date	January 16, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date:			
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Typed or printed name	Barbara A. Johnson		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of:

ROBERT F. DIERBECK

Serial No.: 09/977,675

Filed: 10/15/01

HEAT EXCHANGER ASSEMBLY WITH
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INFORMATION DISCLOSURE STATEMENT

COMMISSIONER OF PATENTS
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Sir:

In accordance with the requirements of 37 CFR §§1.56, 1.97 and 1.98, applicant wishes to call to the attention of the Patent and Trademark Office the prior art listed on the enclosed Form 1449.

A copy of each patent is enclosed.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

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